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CERTIFIED SARBANES-OXLEY PROFESSIONAL TM

CSOP TM

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PROGRAM OVERVIEW



Compliance with the Sarbanes-Oxley Act (SOX) is a **fundamental pillar of corporate accountability, internal control, and financial transparency in publicly traded companies**. Introduced in response to corporate scandals like Enron and WorldCom, SOX has redefined governance standards and placed a renewed emphasis on accurate financial reporting, management responsibility, and independent oversight. A recent report by the Financial Executives International (FEI) indicates that companies that maintain strong SOX internal controls experience up to 50% fewer instances of financial misstatement compared to those with weak controls.

This Certified Sarbanes-Oxley Professional (CSOP™) program is designed to empower professionals with the knowledge and skills necessary to master Sarbanes-Oxley compliance policies and requirements. Through this program, participants will gain a comprehensive understanding of SOX's key provisions, including the latest updates and critical sections of the Act, and learn how to develop a SOX compliant program that ensures integrity and accountability across their organization's internal control, financial reporting, and risk management systems. Utilizing the COSO Framework, attendees will also gain the ability to identify internal control deficiencies within their organization, and implement a robust internal control framework that guarantees overall compliance with the SOX act.

In addition, participants will master the key elements of the SOX auditing process and learn how to ensure financial statements are fully compliant with the SOX act. This program will cover all the documentation required for a SOX program, including the formats, flowcharts, and risk matrix needed to ensure compliance. You will also gain a strong understanding on ethical considerations, corporate governance, and the role of the board of directors in ensuring SOX compliance.

Upon successful completion of the program and passing the Chartered exam, you will earn the **Certified Sarbanes-Oxley Professional (CSOP™)** designation. This globally recognized credential, awarded by the **Chartered Institute of Professional Certifications**, validates your expertise in building and managing SOX-compliant frameworks. The designation carries lifelong validity and is independently accredited by CPD, certifying its alignment with Continuing Professional Development standards.

ACCREDITATIONS



4.8



4.6



KEY SKILLS YOU WILL GAIN

From This Program



**SOX FRAMEWORK
SARBANES OXLEY ACT
INTERNAL CONTROL
COSO FRAMEWORK**

**SOX SECTION 302 & 404
AUDIT TRIAL MANAGEMENT
FRAUD RISK ASSESSMENT
COMPLIANCE FRAMEWORK**

**FINANCE REPORTING
FRAUD ANALYSIS
SOX TESTING
RISK ASSESSMENT**

**FINANCIAL AUDITING
INTERNAL AUDIT
INTERNAL AND EXTERNAL CONTROLS
RISK MANAGEMENT
COMPLIANCE MANAGEMENT**

**DATA INTEGRITY
FRAUD PREVENTION**

YOUR FACULTY DIRECTOR



Neelam Sapphire Eagle

Renowned Governance, Risk, and Compliance (GRC) Expert

Sapphire Eagle is a globally recognized expert in Governance, Risk, and Compliance (GRC) with **over 25 years of experience leading corporate governance, Sarbanes-Oxley (SOX) compliance, and internal control transformation initiatives**. Renowned for her deep expertise in regulatory frameworks and risk resilience, she has advised multinational organizations across financial services, healthcare, technology, and manufacturing on developing and optimizing enterprise-wide compliance strategies.

Sapphire has successfully **directed numerous high-stakes Sarbanes-Oxley certification projects**, equipping companies with sustainable internal control systems and governance practices aligned with evolving regulatory demands. She has **conducted over 700 gap analyses, risk assessments, and internal audits, many of which focused on post-Enron SOC audit frameworks and SOX-related controls**.

Her client portfolio includes global leaders such as Sanofi, Ferrari, Servier Labs, Kyocera, Avery Dennison, and Virtustream Inc., where she has **played a key role in strengthening corporate compliance, improving audit readiness, and enhancing operational integrity**. A highly respected trainer and consultant, Sapphire continues to support organizations in navigating regulatory complexity and achieving long-term governance excellence.

OUR PARTICIPANTS

Over 70% of FORTUNE 500 Companies Have Attended Our Accredited Programs Before



PROGRAM AGENDA



MODULE 1: INTRODUCTION TO SOX ACT

- Overview: Introduction to SOX Act
- Lesson 1: Definitions and Purpose of the Sarbanes-Oxley Act
- Lesson 2: When & Why SOX Compliance is Required
- Lesson 3: Preparing the Organisation and Defining Scope

MODULE 2: COSO FRAMEWORK OVERVIEW

- Overview: COSO Framework Overview
- Lesson 1: COSO Components and Objectives
- Lesson 2: Mapping Controls to COSO Principles
- Lesson 3: COSO Cube & Risk Integration

MODULE 3: DESIGNING EFFECTIVE INTERNAL CONTROLS

- Overview: Designing Effective Internal Controls
- Lesson 1: Key Controls and Testing Approaches
- Lesson 2: Soft Skills for Compliance Leaders
- Lesson 3: SOX Audit Planning and Expectations

MODULE 4: RISK MANAGEMENT AND SOX COMPLIANCE

- Overview: Risk Management and SOX Compliance
- Lesson 1: Types of Risk and SOX Relevance
- Lesson 2: Risk Register and Implementation Activities
- Lesson 3: Embedding Risk Management into Controls

MODULE 5: FRAUD PREVENTION & DETECTION UNDER SOX

- Overview: Fraud Prevention & Detection Under SOX
- Lesson 1: Fraud Risk and Detection Techniques
- Lesson 2: Role Assignments and Weak System Risks
- Lesson 3: Technology and Controls to Prevent Fraud

MODULE 6: MANDATORY DOCUMENTATION & RECORD CONTROLS

- Overview: Fraud Prevention & Detection Under SOX

PROGRAM AGENDA

- Lesson 1: Mandatory Documents and Record Controls
- Lesson 2: Stakeholders and Third Parties
- Lesson 3: Internal and External Audit Practices

MODULE 7: SOX SECTION 404 TESTING REQUIREMENTS

- Overview: SOX Section 404 Testing Requirements
- Lesson 1: Management Testing & Readiness
- Lesson 2: Stakeholder Roles and Third-Party Considerations
- Lesson 3: Documentation and Sign-Off Best Practices

MODULE 8: SOX SECTION 302 CERTIFICATIONS

- Overview: SOX Section 302 Certifications
- Lesson 1: Leadership Responsibility
- Lesson 2: Disclosure Controls and Internal Reporting
- Lesson 3: Management Representation and Quarterly Reviews

MODULE 9: ADDRESSING CONTROL DEFICIENCIES AND REMEDIATION

- Overview: Addressing Control Deficiencies and Remediation
- Lesson 1: Identifying and Categorizing Control Deficiencies
- Lesson 2: Control Remediation Strategies
- Lesson 3: Control Remediation and Audit-Ready Documentation

MODULE 10: CULTURE OF COMPLIANCE & CONTINUOUS IMPROVEMENT

- Overview: Culture of Compliance & Continuous Improvement
- Lesson 1: Creating a Culture of Compliance
- Lesson 2: Continuous Improvement in the SOX Program
- Lesson 3: Embedding Ethics, Controls and Sustainability

EXAMINATION

YOUR CHARTER DESIGNATION



Chartered Institute of Professional Certification's programs are unique as they provide you with professional charter designation and mark that can be used across your lifetime once you have completed our programs.

Upon completion of the program and successfully passing the Chartered exam, you will be awarded the prestigious **Certified Sarbanes-Oxley Professional (CSOP™)** designation, which can be showcased in your resume, CV, and other professional credentials. Developed by the **Chartered Institute of Professional Certifications**, a leading authority in professional certifications, this industry-recognized, trademarked charter will establish your credentials as a leading expert in corporate governance, Sarbanes-Oxley (SOX) compliance, and internal controls. It formally validates your advanced knowledge in designing, implementing, and managing robust compliance programs, while demonstrating your proficiency in applying regulatory frameworks, risk management strategies, and best practices to enhance financial reporting accuracy, reinforce operational resilience, and uphold ethical governance in today's complex regulatory landscape.

The program's content has been independently accredited and certified by the **Continuing Professional Development (CPD) organization**, guaranteeing adherence to rigorous standards of professional development.

ABOUT US

49,525

Business Leaders Have
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Certifications Since 2009

390

Certified and Fully
Accredited Programs

87%

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Reported Career Promotions
and Enhancements

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All of Chartered Institute of Professional Certifications programs are fully accredited programs. The professional charters and designations are trademarked credentials that can only be used by professionals who have completed and passed our accredited program. It is also independently accredited by CPD as adhering to the highest standards of continuing professional principles.

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CONTACT US TODAY

We Thank You for Your Ongoing Support
of Our Programs

Singapore and Asia Pacific Enquiries

Email: advisor@charteredcertifications.com
Phone: +65 6716 9980
Address: Chartered Institute of Professional Certifications
1 Gateway Drive
#20-04 Westgate Tower
Singapore 608531

Australia and New Zealand Enquiries

Email: advisor@charteredcertifications.com
Phone: +61 3 9909 7310
Address: Chartered Institute of Professional Certifications
530 Little Collins Street, Level 1
Melbourne VIC 3000, Australia

UK, Europe and Middle East Enquiries

Email: advisor@charteredcertifications.com
Phone: +44 (020) 335 57898
Address: Chartered Institute of Professional Certifications
86-90 Paul Street
London, EC2A 4NE

USA Enquiries

Email: advisor@charteredcertifications.com
Phone: +1 888 745 8875
Address: Chartered Institute of Professional Certifications
99 Wall Street #3936
New York, NY 10005